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(57) Abstract: A technique for the handover of a mobile station (30, 31, 44, 45) between base stations (32, 33, 39, 40) in a radio communications system in which the mobile stations and base stations each derive an encryption key (DCK) for encrypting their communications to each other. On handover, the key derivation parameters used by a mobile station to derive the encryption key are passed to the new base station, which then uses the parameters to re-derive the encryption key. The mobile station can then communicate with the new base station using the original encryption key for that call. Preferably the new base station seeks the key derivation parameters for a particular mobile station from a database. The key derivation parameters passed to the new base station on handover preferably comprise only parameters previously transmitted over the air-interface. In this way handover of a mobile station between base stations is achieved, without passing of the air-interface encryption key over the air-interface.

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Radio Communications

5   The present invention relates to radio
communications systems, and in particular to the
encryption of transmissions in mobile radio
communications systems.

In modern radio systems there is usually a
10   requirement for encryption of the air interface (i.e. of
the radio link between a mobile station and a base
station) to deter eavesdroppers. In many radio systems
the encryption key to be used is derived during
operation of the mobile radio system by the mobile
15   station and base station when they, for example, first
communicate with each other. This encryption key
derivation process will typically use corresponding
algorithms in the base station and mobile station and a
number of common parameters provided to and/or generated
20   by the base station and mobile station.

For the convenience and security of radio system
users and operators, the derivation of the encryption
key is often combined with the process of authenticating
the mobile station to the system. (As is known in the
25   art, there is usually a requirement for authentication
of a mobile station to the radio system to prevent
unauthorised users from defrauding the system operator
or misleading other users. There is also, increasingly,
a requirement to make the authentication mutual, i.e. to
30   allow a mobile station user to be assured that his or
her radio unit is communicating with a base station
belonging to the radio system.)

In such an arrangement, the secret key which is
employed to operate the authentication algorithms is
35   typically also used to generate a new, random individual
encryption key each time the user authenticates with the
system. This individual encryption key is then used by

the mobile station to encrypt all further radio
communications with the radio system over the air
interface until a new authentication process takes
place.

(The actual way that this individual encryption key
is used will depend on the actual encryption process
being employed. For example, in a block cipher it will
typically be combined in some way with another input bit
stream (which would typically be pseudo-random or at
least unlikely to be repeated and change frequently
during the encryption process (unlike the encryption key
which can and typically will stay the same during the
encryption process); this input bit stream is often
referred to as an "initialisation vector" or
"synchronisation vector"). The result of the combining
of the encryption key and the initialisation vector bit
stream (which is often referred to as a "key stream
sequence" or "segment") is then used to encrypt the
plain text (e.g. by being XORed with it).)

GSM (Global System for Mobile communications), DECT
(Digital Enhanced Cordless Telephony) and TETRA
(TErrestrial Trunked RAdio) systems all employ variants
of this authentication and encryption key derivation
mechanism. *Terrestrial Trunked Radio (TETRA) Voice plus
Data (V+D); Part 7: Security*, EN 300 392-7, European
Telecommunications Standards Institute, F-06921 Sophia
Antipolis, CEDEX - FRANCE describes this process for a
TETRA system. Mouly, M, Paulet, M, *The GSM System for
Mobile Communications*, Cell & Sys., 4 rue Elisee Reclus,
F-91120 Palaiseau, France, 1992, ISBN 2-9507190-0-7
describes this process for a GSM radio system.

Figure 1 illustrates a known method of
authenticating a mobile station 2 to a base station 3 in
a mobile radio system. The TETRA system, for example,
uses this form of authentication process (see, e.g. EN
300 392-7, section 4). To facilitate the authentication
process, a secret authentication key 'K' is shared by

the mobile station 2 and an 'authentication centre' 1.
Authentication key K is not known by the base station 3,
and should never be revealed outside the authentication
centre and the mobile station.

5   When the base station 3 wishes to prove the
authenticity of the mobile station, it requests a pair
of values, random seed RS and session key KS, from the
authentication centre 1. The authentication centre
generates random seed RS, and inputs random seed RS and

10   secret authentication key K into a one-way encryption
algorithm TA11 to produce a session key KS (step 4 in
Figure 1). Session key KS and random seed RS are then
delivered to the base station 3 (step 9). The
properties of encryption algorithm TA11 are such that is

15   should be difficult to deduce authentication key K from
a knowledge of random seed RS and session key KS.

       The base station 3 then generates its own random
number RAND1 and sends an authentication challenge to
the mobile station 2 (step 10). Random number RAND1 and

20   random seed RS are carried in the challenge message.
Mobile station 1 derives its version of the session key
KS using the delivered value of random seed RS and its
copy of secret key K using encryption algorithm TA11
(step 5). The mobile station now combines its derived

25   session key KS and the challenge random number RAND1 in
an encryption algorithm TA12 to create a response RES1,
which it transmits back to the base station 3 (step 6).

       The base station also uses the session key KS it
received from the authentication centre 1, the random

30   number RAND1 and the encryption algorithm TA12 to create
its version of the response, XRES1 (step 7). It then
compares its value of the response, XRES1, with the
value RES1 received from the mobile station. If the two
agree, the base station can conclude that the mobile

35   station and the authentication centre must share the
same secret key K. The mobile station is therefore
authenticated.

The authentication process is also used to derive a
cipher key that is actually used for the encryption of
(traffic) communications between the base station and
mobile station. This is achieved by encryption
5   algorithm TA12 generating a derived cipher (encryption)
key, DCK1, at the same time as it produces response RES1
in the mobile station and as it produces response XRES1
in the base station. An authentic mobile station will,
as will be appreciated from the above, derive the same
10   cipher key as the base station. The derived cipher key
DCK1 can then be used as an encryption key for air
interface encryption between the mobile station and the
base station. It should be noted that the derived
cipher key DCK1 is in this arrangement never revealed
15   outside the mobile station and the base station.

Figure 2 illustrates a known mutual authentication
process. This type of process is again used, for
example, in the TETRA system. In this case the
authentication centre 11 and the mobile station 12 apply
20   random seed RS and authentication key K to a second
encryption algorithm TA21 to generate a second session
key KS' (steps 18, 19 in Figure 2). Random seed RS and
first and second session keys KS, KS' are sent to the
base station 13 by the authentication centre (step 20).
25   As before, random number RAND1 and random seed RS
are sent to the mobile station by the base station in a
challenge (step 10), and as before the mobile station
returns its derived response RES1 and also derives a
cipher key DCK1 (step 6). If response RES1 agrees with
30   response XRES1 derived in the base station, the base
station can authenticate the mobile station.

However, in this case, to enable the mobile station
12 to authenticate the base station 13, the mobile
station 12 generates and sends a new challenge random
35   number RAND2 to the base station with its response RES1.
The base station encrypts random number RAND2 in a
further encryption algorithm TA22 using the second

session key KS' to create response RES2 (step 17), and
sends response RES2 to the mobile station. The mobile
station computes its version of the response, XRES2,
using algorithm TA22, random number RAND2 and its
5   derived second session key KS' (step 15), and compares
the two response values. If they are the same, the
mobile station can conclude that the base station is in
contact with the authentication centre which shares the
mobile station's secret key, and authenticate the base
10   station.
         In this mutual authentication arrangement,
encryption algorithm TA22 also generates a second
derived cipher key, DCK2, and this is combined with the
first derived cipher key, DCK1, in a further algorithm
15   TB4 by both the mobile station and the base station to
generate a (overall) derived cipher key, DCK (step 14,
16). This final derived cipher (encryption) key will
again be the same if both the base station and mobile
station are legitimate and can then be used for
20   air-interface traffic encryption by both the mobile
station and the base station.
         While it is generally convenient to derive the air-
interface encryption (cipher) key in use when a mobile
station first communicates with a new base station, e.g.
25   as part of the authentication process, the Applicants
have recognised that in certain circumstances such an
arrangement may not always be convenient. For example,
when a mobile station wishes to move (i.e. handover)
from one radio base station to another while engaged in
30   a call, it is generally required that such a 'handover'
be seamless, i.e. undetectable to the user. However,
the encryption key derivation (e.g. authentication)
process may take some time to complete and thus a
seamless handover may not be possible if a new
35   encryption key has to be derived (e.g. the mobile
station has to be authenticated at the new base station)
before it can continue its conversation.

Thus if seamless handover is required, such that it
is necessary to defer key derivation, e.g.
authentication, at the new base station, the derived air
interface encryption key (i.e. the key which is being
5   used by the mobile station to encrypt traffic) which was
in use between the mobile station and the first base
station must be used in communications between the
mobile station and the second, new base station. This
in turn means that second base station must be made
10   aware of the encryption key at the time that the
handover is requested.

One way to do this would be simply to pass the
originally derived air interface encryption key
currently in use from the first base station to the
15   second base station. However, transferring encryption
keys between base stations is hazardous, as an
interceptor of a communications link from one of the
base stations could be able to obtain the key. This may
then compromise not only communication between the
20   mobile station and the current base station, but also
radio communications between the mobile station and
other base stations to which it is handed.

Furthermore, a mobile station's personal derived
air interface encryption key is often used to encrypt
25   for transmission other encryption keys to be used in the
radio system, as in many radio systems it is often
necessary to deliver further encryption keys over the
air-interface.

For example, the TETRA system uses a common cipher
30   key (CCK) for common use by plural mobile stations which
is used by mobile stations for encrypting their
identity, and for decrypting messages addressed to local
call groups of which they are a member, a group cipher
key (GCK) which is an additional key for group
35   communications where the common cipher key alone does
not provide sufficient protection and for use in group
calls throughout the radio system, and static cipher

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keys (SCK) which are for direct mode operation (i.e.
communication independently of a fixed radio network).
These additional cipher keys are typically delivered to
a mobile station over the air interface, and the mobile
5   station's current derived air interface cipher key would
usually be used to encrypt (seal) these keys when they
are being delivered.

Thus, interception of one mobile station's derived
air interface cipher key may also enable the interceptor
10   to obtain access to additional encryption keys in use by
other mobile stations.

It would be possible to encrypt the derived air
interface encryption (cipher) key before sending it from
one base station to another. However, the use of
15   encrypted communication links means additional keys are
required. If symmetric encryption is used either a
common key must be used for all inter-base station
encryption key exchanges, or a separate key must be held
for each pair of base stations. If asymmetric
20   encryption is used, each base station would have its own
public key-private key pair, and a list of the public
keys of all the nearby base stations to which hand-over
might be required.

However, implementing such encryption of base
25   station links would introduce additional key management
problems and processing overheads, and is not therefore
necessarily desirable.

According to a first aspect of the present
invention, there is provided a method of operating a
30   mobile radio communications system, which system
comprises one or more mobile stations and plural base
stations, and wherein the mobile stations and base
stations of the system each carry out an encryption key
derivation process using one or more key derivation
35   parameters to derive an encryption key for encrypting
their communications to each other, the method
comprising:

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when a mobile radio unit is handed over from one
base station to another during an on-going call, passing
one or more of the key derivation parameters used by the
mobile station to derive the encryption key being used
5   for the call to the new base station, and
the new base station using the key derivation
parameters it receives to derive the encryption key to
be used for the call.
According to a second aspect of the present
10   invention, there is provided a mobile radio
communications system, comprising
one or more mobile stations;
a plurality of base stations;
the mobile stations and base stations each
15   comprising means for deriving an encryption key for
encrypting their communications to each other from one
or more key derivation parameters;
the system further comprising:
means for, when a mobile station is handed over to
20   another base station during an on-going call, passing to
the new base station, one or more of the key derivation
parameters used by the mobile station to derive the
encryption key being used for the call, whereby the new
base station can derive the encryption key to be used
25   for the call.
In the present invention, when handover occurs
during an on-going call, one or more of the encryption
key derivation parameters used to derive the air
interface encryption (cipher) key being used for the
30   call are passed to the new base station which then uses
those parameters to derive the air interface encryption
key to be used for the call. This allows the mobile
station to continue to use its existing air interface
encryption key in its communications with the new base
35   station, and thus there is no need for the mobile
station and new base station to derive a new air
interface encryption key for their communications,

thereby allowing a more seamless handover. Furthermore,
there is no need to transfer the existing air interface
encryption key itself to the new base station. Thus
that key is not revealed outside the base and mobile
5   stations and there is also no need for the additional
encryption arrangements that such revelation might
entail.

Thus in the present invention the existing air
interface encryption key being used for the call is not
10   itself passed to the new base station, but the new base
station can still derive that key and then use it for
the call. This is achieved by passing key derivation
parameters to the new base station to enable it to
derive the encryption key to be used for the call. As
15   it is the parameters required to derive the encryption
key, rather than the key itself, which are transferred
between the base stations, the present invention can
thus effectively transfer the original encryption key
used for the call between base stations, but in a manner
20   which renders encryption of keys unnecessary, thereby
avoiding additional key management and processing
problems.

The encryption key derivation process can be any
suitable such process. It would normally use
25   predetermined key derivation algorithms (with all of the
mobile stations and base stations of the system
preferably using the same, predetermined encryption key
derivation process), but the key derivation parameters
may be randomly generated, and/or predetermined and
30   unique to a given mobile station, and/or, at least
initially, supplied from another location, such as an
authentication centre.

The encryption key derivation process would
normally take place when a mobile radio unit first
35   contacts a base station (except when it does so during
an ongoing call and is operating in accordance with the
present invention). It will typically be a mobile

station and/or base station authentication process as discussed above. In such an arrangement in the present invention the new base station would therefore effectively use the key derivation mechanism employed

5   during the authentication process to derive the air interface encryption key, using the one or more of the key derivation parameters passed to it to enable it to do so.

The key derivation parameters may be passed to the

10   new base station as desired. They are preferably passed to the new base station by the existing base station, as this would usually be more convenient, but the mobile station may pass the encryption key derivation parameters to the new base station if desired. The key

15   derivation parameters are preferably passed to the new base station with the handover request (e.g. by the existing base station as soon as it receives the handover request from the mobile station or decides itself to perform a handover).

20   In one preferred embodiment, the key derivation parameters are passed to the new base station over communication links that they would normally be passed over in the normal key derivation process, as this introduces no new security considerations over and above

25   the security risks already present in the derivation process.

The key derivation parameters passed to the new base station should be those parameters such as are necessary for it to derive the encryption key to be used

30   for the call. They would typically be or include one or more of the original key derivation parameters used to derive the encryption key to be used for the call by the mobile station and/or the first base station.

Thus for example, in a key derivation process that

35   uses derived session keys and a challenge random number to derive the air interface or traffic encryption key, such as in the authentication and key derivation

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processes discussed in detail above, it would, for
example, be sufficient to pass the session key or keys
and challenge random number or numbers (i.e. in the
above example session key KS and the challenge random
5   number RAND1, where only the mobile station is
authenticated, or the session keys KS and KS' and the
challenge random numbers RAND1 and RAND2 where mutual
authentication is being used) to the new base station
for it to derive the derived air interface encryption
10   key using the key derivation algorithms (algorithm TA12,
or algorithms TA12, TA22 and TB4, respectively, in the
above examples), which algorithms the new base station
will already know.

        In a particularly preferred embodiment, the key
15   derivation parameters sent to the new base station
comprise only parameters that have already been
transmitted over the air-interface, as in that case no
new security risk would be introduced by sending them
across an unencrypted communications link (such as an
20   unencrypted mobile station to base station air interface
or a microwave link between the base stations) to the
new base station (and would therefore permit the use of
an unencrypted communications link for such parameter
transfer). The new base station could then, if
25   necessary, seek further necessary key derivation
information from another source.

        In a preferred embodiment therefore a record is
kept of key derivation parameters previously used for a
given mobile radio unit, so as to allow those parameters
30   to be retrieved if necessary by a new base station
communicating with that mobile radio unit. The
parameters should be stored in a database or databases
accessible by base stations of the fixed radio network,
and record the parameters against each mobile radio
35   unit's identity to allow them to be retrieved. A single
central database could be used, which could, for
example, be at the authentication centre.

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Alternatively, plural copies of the relevant key
derivation parameters and mobile station identity
records could be stored at plural different locations in
the fixed radio network. This may help to avoid delays
due to bottlenecks in the network when many base
stations are seeking the records at the same time.

Thus, for example, in a key derivation process that
uses a random seed to derive a session key or keys that
are used, together with challenge random number(s), to
derive the air-interface encryption key, such as the
authentication and key derivation process exemplified
above, the values of the random seed and challenge
random number or numbers (i.e. in the above examples the
random seed RS, the random number RAND1 and, if
necessary the random number RAND2) could be sent to the
new base station. The new base station could then, for
example, obtain the values of session key or keys (in
the above example the session key or keys KS and KS')
that it needs to derive the encryption key by seeking
them from the stored key derivation parameter records.

In this arrangement, to allow the key derivation
parameter store to know which session keys to send to
the new base station, each session key or key set could
be recorded with the corresponding random seed value and
mobile station identity (since these parameters will
identify the relevant sessions keys) in the store. The
new base station is provided with the mobile station's
identity and the relevant random seed value and can thus
transmit them to the key derivation parameter store
which can then retrieve the corresponding values of the
session key or keys from its records and send them to
the new base station which will then be able to derive
the original derived encryption key. Thus, in one
arrangement, the generated session key or keys, KS and
KS', and corresponding random seed RS generated for a
particular mobile station are stored along with the
mobile station's identity, so that they can be retrieved

at a later date.

It is believed that recording encryption key
derivation parameters to allow them to be retrieved for
use by a base station that did not receive them when
5   they were first derived may be advantageous in its own
right. Thus, according to a third aspect of the present
invention, there is provided a method of operating a
mobile radio communications system in which system
during an authentication procedure for a mobile radio
10   unit using the system, a random seed value is used to
derive a session key or keys for use to derive a cipher
key for use for air-interface encryption, the method
comprising storing in a database a record for the or
each mobile radio unit of random seed values and the
15   corresponding session keys previously used for that
mobile radio unit.

According to a fourth aspect of the present
invention, there is provided a mobile radio
communications system, in which system during an
20   authentication procedure for a mobile radio unit using
the system, a random seed value is used to derive a
session key or keys for use to derive a cipher key for
use for air-interface encryption, the system comprising
means for storing in a database a record for the or each
25   mobile radio unit of random seed values and the
corresponding session keys previously used for that
mobile radio unit.

In an alternative arrangement, the new base station
could provide the mobile station's identity and the
30   random seed value to the authentication centre, which
could then use the mobile station's secret
authentication key K and the random seed value to
rederive the session key or keys.

Thus, according to a fifth aspect of the present
35   invention, there is provided a method of operating a
mobile radio communications system, in which system
during an authentication procedure for a mobile radio

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unit using the system, an authentication centre of the
system generates and uses a random seed value to derive
a session key or keys for use by the mobile radio unit
and a base station of the system to derive a cipher key
5   for use for air-interface encryption by the mobile radio
unit, the method comprising:
        the mobile radio unit or a base station of the
system returning a generated random seed value together
with the identity of the mobile radio unit to the
10   authentication centre;
        the authentication centre, on the basis of the
random seed value and mobile radio unit's identity
provided to it, rederiving the session key or keys
originally derived using that random seed value for the
15   mobile radio unit; and
        the authentication centre providing the rederived
session key or keys to another base station of the
system to allow that base station to derive the cipher
key.
20   Thus, according to a sixth aspect of the present
invention, there is provided a mobile radio
communications system, comprising:
        plural mobile radio units;
        plural base stations;
25   an authentication centre for carrying out an
authentication procedure for a mobile radio unit using
the system, which authentication centre comprises means
for, during the authentication procedure, generating and
using a random seed value to derive a session key or
30   keys for use by the mobile radio unit and a base station
of the system to derive a cipher key for use for air-
interface encryption by the mobile radio unit; in which
system:
        the mobile radio units and/or base stations of the
35   system comprise means for returning a generated random
seed value together with the identity of a mobile radio
unit to the authentication centre;

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the authentication centre comprises means for, on the basis of the random seed value and mobile radio unit's identity provided to it, rederiving the session key or keys originally derived using that random seed
5   value for the mobile radio unit, and means for providing the rederived session key or keys to another base station of the system to allow that base station to derive the cipher key.

The methods in accordance with the present
10   invention may be implemented at least partially using software e.g. computer programs. It will thus be seen that when viewed from a further aspect the present invention provides computer software specifically adapted to carry out the methods hereinabove described
15   when installed on data processing means and a computer program element comprising computer software code portions for performing the methods hereinabove described when the program is run on data processing means. The invention also extends to a computer
20   software carrier comprising such software which when used to operate a radio system or base station or mobile station comprising a digital computer causes in conjunction with said computer said system or station to carry out the steps of the method of the present
25   invention. Such a computer software carrier could be a physical storage medium such as a ROM chip, CD ROM or disk, or could be a signal such as an electronic signal over wires, an optical signal or a radio signal such as to a satellite or the like.

30   It will further be appreciated that not all steps of the method of the invention need be carried out by computer software and thus from a further broad aspect the present invention provides computer software and such software installed on a computer software carrier
35   for carrying out at least one of the steps of the methods set out hereinabove.

A number of preferred embodiments will now be

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described by way of example only, and with reference to
the accompanying drawings, in which:

Figure 1 illustrates the authentication of a TETRA
mobile station;

Figure 2 illustrates mutual authentication in the
TETRA system;

Figure 3 illustrates schematically one arrangement
of a mobile radio system operating in accordance with
the present invention;

Figure 4 illustrates schematically another
arrangement of a mobile radio system operating in
accordance with the present invention;

Figure 5 is a message sequence chart showing one
embodiment of a messaging sequence for a mobile radio
system operating in accordance with the present
invention; and

Figure 6 is a message sequence chart showing
another embodiment of a messaging sequence for a mobile
radio system operating in accordance with the present
invention.

Two examples of the operation of a mobile radio
system in accordance with the present invention will now
be described.

Figures 3 and 5 show the first example in which
mobile station 30 wishes to call mobile station 31
(which communicates with the fixed radio network via
base station 34) (see Figure 3). Figure 5 is a message
sequence chart showing the exchange of messages as the
call progresses.

When it wishes to make the call, mobile station 30
first registers with base station 32. The base station
32 passes the call request on to the authentication
centre 36, via switch 35. Authentication of the mobile
station 30 then proceeds as described above with
reference to Figure 1. (For simplicity, a one-way
authentication is illustrated).

Thus, authentication centre 36 supplies session key

KS and random seed RS to base station 32 via switch 35.
Base station 32 generates random number RAND1 and sends
random number RAND1 and random seed RS to the mobile
station 30 as a challenge. Mobile station 30 computes

5   its response RES1 and returns it to base station 32. At
the same time it derives an encryption (cipher) key,
DCK, for use when communicating with base station 32,
using random number RAND1, random seed RS, and its
secret key K. The base station confirms that the mobile

10   station's response RES1 is the correct response, derives
its cipher key, DCK, from the session key KS and random
number RAND1 (which cipher key should be the same as the
mobile station's derived cipher key, DCK, where the
mobile station is authentic), and acknowledges mobile

15   station 30's registration request.
        The mobile station and base station can then use
the derived cipher key DCK to encrypt their
communications to each other. This would typically be
done by using the derived cipher key DCK in combination

20   with a pseudo-random or at least varying input
initialisation vector to generate a key stream sequence
which is used to encrypt the plain text traffic to be
sent. TETRA can use this form of encryption mechanism
(see, e.g., ETSI EN 300 392-7, section 6).

25   Consider the case where, while engaged in a call
with mobile station 31, mobile station 30 determines
that it requires a handover from current base station 32
to new base station 33. Mobile station 30 sends its
handover request to base station 32. (In a TETRA

30   system, the mobile stations determine when a handover is
necessary; in GSM the base stations make the decision,
but this difference is immaterial to the present
invention.) Base station 32 sends the handover request
to base station 33 via switch 35.

35   As discussed above, a derived cipher key must be
used for communications between the mobile station 30
and the new radio base station 33. However, the

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originally derived cipher key DCK in use between mobile
station 30 and base station 32 is unknown outside the
mobile station 30 and the base station 32. Thus either
the mobile station 30 has to re-authenticate at the new
5   base station 33, to derive a new cipher key, or the old
cipher DCK must be used at the new base station. If
seamless handover is required, there is no time to re-
authenticate, and the second method must be used.

Thus, in accordance with the present invention, the
10   handover request message from base station 32 to base
station 33 contains the identity of mobile station 30,
and the values of random number RAND1 and session key KS
used to derive the cipher key that mobile station 30 is
currently using. Base station 33 regenerates the cipher
15   key DCK using random number RAND1 and session key KS in
algorithm TA12 (Figure 1) and sends a message to base
station 32 via switch 35. Base station 32 confirms the
handover request to mobile station 31. Mobile station
31 switches to a radio channel used by base station 33
20   and makes direct contact with base station 33, still
using the cipher key DCK it was using with base station
33.

In this example, there is minimal interruption to
the mobile station 30 by the handover signalling, and
25   the derived cipher key is never exposed outside mobile
station 31 and base stations 32 and 33. Session key KS
is transmitted along the communication links from base
station 32 and base station 33 to the switch 35.
However, such session keys would normally be sent across
30   such communications links during authentication, and
thus the handover arrangement introduces no additional
security hazards beyond those already present in the
radio network and does not further endanger the derived
cipher key used for air interface encryption.

35   Figures 4 and 6 show a further example of the
operation of a radio system in accordance with the
present invention, but in which system there is a direct

radio link between base stations 39 and 40 via antennae
41 and 42. Mobile station registration and encryption
key generation proceeds as before. Again, the case
when, during a call to mobile station 45, it becomes
5   necessary for mobile station 44 to be handed over to
base station 40 will be considered.

This time, when handover is required, mobile
station 44's handover request is sent directly to new
base station via radio link 41-42. However, in this
10   case, passing the session key KS to the new base station
40 via radio link 41-42 may be undesirable, as an
interceptor of that link who has a knowledge of the key
derivation algorithms (i.e., in the above example, of
algorithms TA12, TA22, and/or TB4) would be able to
15   recreate the derived cipher key.

Thus, instead of sending the session key KS, the
base station 39 sends the mobile station 44's identity
and the values of random number RAND1 and random seed RS
used to derive the cipher key to the new base station 40
20   via radio link 41-42. Base station 40 now requests from
authentication centre 37 via switch 38 the value of the
session key KS corresponding to the identity of mobile
station 44 and random seed RS. The authentication
station recomputes session key KS using the secret key K
25   and random seed RS, and returns session key KS to base
station 40 via switch 38. Base station 40 can now
derive the air-interface traffic cipher key, and
acknowledges the handover request to base station 39 via
radio line 41-42. Base station 39 signals the
30   acknowledgement to mobile station 44, and mobile station
44 contacts base station 40 directly.

In this second example, neither the derived cipher
key or session key KS are revealed over the radio link,
yet the original derived cipher key is safely derived by
35   base station 40, and a seamless handover is achieved.
Session key KS is revealed only on communication links
which already carry session key KS values for

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authentication purposes, so nothing new will be revealed to an interceptor.

Although the present invention has been described above with reference to the TETRA system, it is, as will
5   be appreciated by those skilled in the art, applicable to any mobile radio system where encryption keys are derived in use by mobile stations and base stations, such as the GSM system.

Claims:

1.   A method of operating a mobile radio communications
system, which system comprises one or more mobile
stations and plural base stations, and wherein the
mobile stations and base stations of the system each
carry out an encryption key derivation process using one
or more key derivation parameters to derive an
encryption key for encrypting their communications to
each other, the method comprising:
      when a mobile station is handed over from one base
station to another during an on-going call, passing one
or more of the key derivation parameters used by the
mobile station to derive the encryption key being used
for the call to the new base station, and
      the new base station using the key derivation
parameters it receives to derive the encryption key to
be used for the call.

2.   A method of operating a mobile radio communications
system as claimed in claim 1 wherein the key derivation
parameters are passed to the new base station with the
handover request.

3.   A method of operating a mobile radio communications
system as claimed in any one of claims 1 or 2, wherein
the key derivation parameters are passed to the new base
station during the on-going call via the same
communication links as used to pass key derivation
parameters between a mobile station and a base station
when a mobile station first contacts the base station
not during an on-going call.

4.   A method of operating a mobile radio communications
system as claimed in any one of the preceding claims
wherein a derived session key or keys and challenge
random number or numbers are used to derive the

encryption key, further comprising passing the session
key or keys and challenge random number or numbers used
to derive the encryption key to the new base station.

5.   A method of operating a mobile radio communications
system as claimed in any one of the preceding claims
wherein the key derivation parameters passed to the new
base station on handover comprise solely parameters
previously transmitted over the air-interface.

6.   A method of operating a mobile radio communications
system as claimed in any one of the preceding claims
wherein the new base station seeks further key
derivation information from a database.

7.   A method of operating a mobile radio communications
system as claimed in claim 6 wherein key derivation
parameters previously used by mobile stations of the
system are stored in association with a mobile station
identifier in a database or databases accessible by base
stations of the fixed radio network.

8.   A method of operating a mobile radio communications
system as claimed in claim 6 or 7 wherein a derived
session key or keys and challenge random number or
numbers are used to derive the encryption key, and a
random seed is used to derive the session key or session
keys, further comprising passing the random seed and
challenge random number or numbers to the new base
station, and the base station seeking the session key or
keys from a database storing key derivation parameter
information.

9.   A method of operating a mobile radio communications
system as claimed in claim 8, wherein the session key or
key set and the corresponding random seed value are
stored in the database in association with the mobile

station identifier.

10.   A method of operating a mobile radio communications
system as claimed in any one of the preceding claims,
wherein during an authentication procedure for a mobile
station using the system, an authentication centre of
the system generates and uses a random seed value to
derive a session key or keys for use by the mobile
station and a base station of the system to derive a
cipher key for use for air-interface encryption by the
mobile station, further comprising passing an identifier
for the mobile station in association with the random
seed value to an authentication centre, further
comprising the authentication centre using a common
authentication key of the mobile station and base
station with the random seed value to rederive the
session key or keys and providing the rederived session
key or keys to another base station of the system to
allow that base station to derive the cipher key.

11.   A method of operating a mobile radio communications
system in which system during an authentication
procedure for a mobile station using the system, a
random seed value is used to derive a session key or
keys for use to derive a cipher key for use for air-
interface encryption, the method comprising storing in a
database a record for the or each mobile station of
random seed values and the corresponding session keys
previously used for that mobile station.

12.   A method of operating a mobile radio communications
system, in which system during an authentication
procedure for a mobile station using the system, an
authentication centre of the system generates and uses a
random seed value to derive a session key or keys for
use by the mobile station and a base station of the
system to derive a cipher key for use for air-interface

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encryption by the mobile station, the method comprising:

the mobile station or a base station of the system returning a generated random seed value together with the identity of the mobile station to the authentication

5   centre;

the authentication centre, on the basis of the random seed value and the mobile station identifier provided to it, rederiving the session key or keys originally derived using that random seed value for the

10   mobile station; and

the authentication centre providing the rederived session key or keys to another base station of the system to allow that base station to derive the cipher key.

15

13.   A mobile radio communications system, comprising
       one or more mobile stations;
       a plurality of base stations;
       the mobile stations and base stations each

20   comprising means for deriving an encryption key for encrypting their communications to each other from one or more key derivation parameters;
       the system further comprising:
       means for, when a mobile station is handed over to

25   another base station during an on-going call, passing to the new base station, one or more of the key derivation parameters used by the mobile station to derive the encryption key being used for the call, whereby the new base station can derive the encryption key to be used

30   for the call.

14.   A mobile radio communications system, in which system during an authentication procedure for a mobile station using the system, a random seed value is used to

35   derive a session key or keys for use to derive a cipher key for use for air-interface encryption, the system comprising means for storing in a database a record for

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the or each mobile station of random seed values and the
corresponding session keys previously used for that
mobile station.

5   15. A mobile radio communications system, comprising:
       plural mobile stations;
       plural base stations;
       an authentication centre for carrying out an
authentication procedure for a mobile station using the
10   system, which authentication centre comprises means for,
during the authentication procedure, generating and
using a random seed value to derive a session key or
keys for use by the mobile station and a base station of
the system to derive a cipher key for use for air-
15   interface encryption by the mobile station; in which
system:
       the mobile stations and/or base stations of the
system comprise means for returning a generated random
seed value together with the identity of a mobile
20   station to the authentication centre;
       the authentication centre comprises means for, on
the basis of the random seed value and mobile station's
identity provided to it, rederiving the session key or
keys originally derived using that random seed value for
25   the mobile station, and means for providing the
rederived session key or keys to another base station of
the system to allow that base station to derive the
cipher key.

30   16. A mobile radio communications system, as claimed in
any one of claims 13 to 15 further comprising means for
storing further key derivation information in a
database.

35   17. Computer software specifically adapted to carry out
the method of any one of claims 1 to 12 when installed
on a data processing means.

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18. A method of operating a mobile station of a mobile radio system, substantially as hereinbefore described with reference to any one of the accompanying drawings.

5   19. A method of operating a base station of a mobile radio system, substantially as hereinbefore described with reference to any one of the accompanying drawings.

20. A mobile station of a mobile radio system,
10   substantially as hereinbefore described with reference to any one of the accompanying drawings.

21. A base station of a mobile radio system, substantially as hereinbefore described with reference
15   to any one of the accompanying drawings.

22. A mobile radio communications system substantially as hereinbefore described with reference to any one of the accompanying drawings.
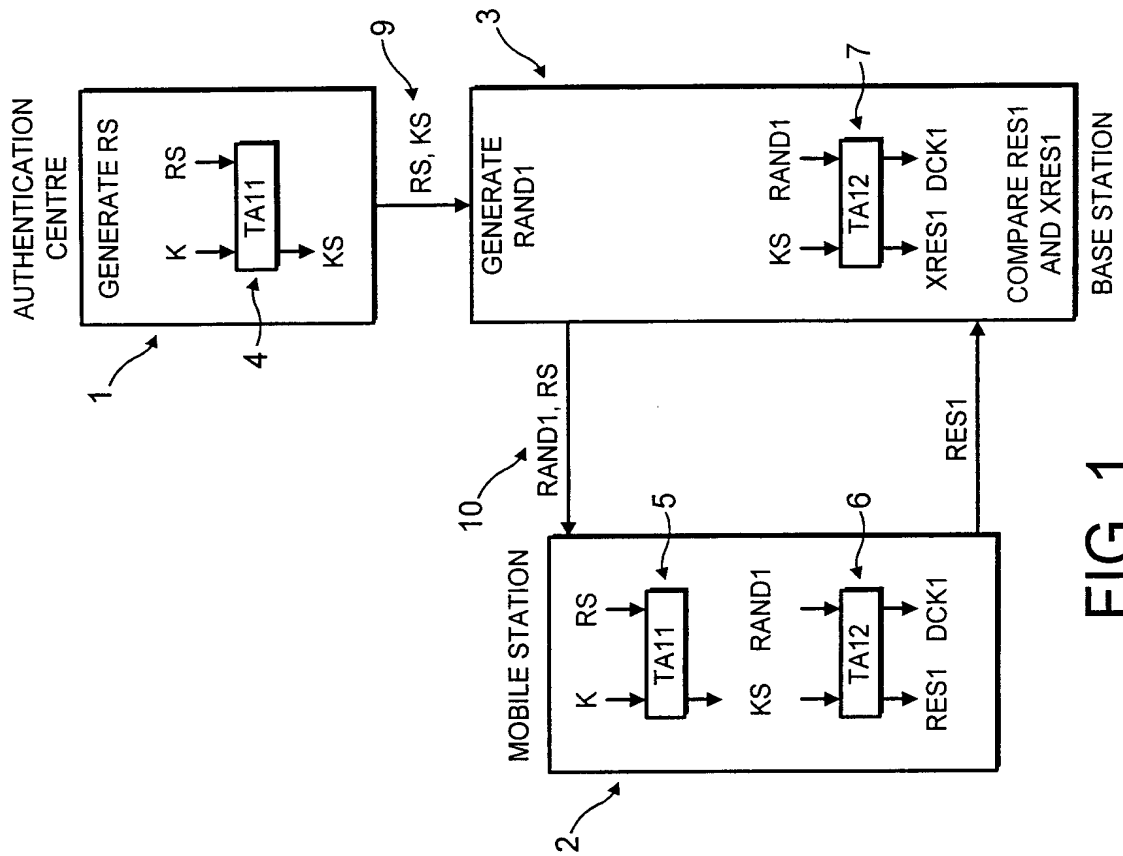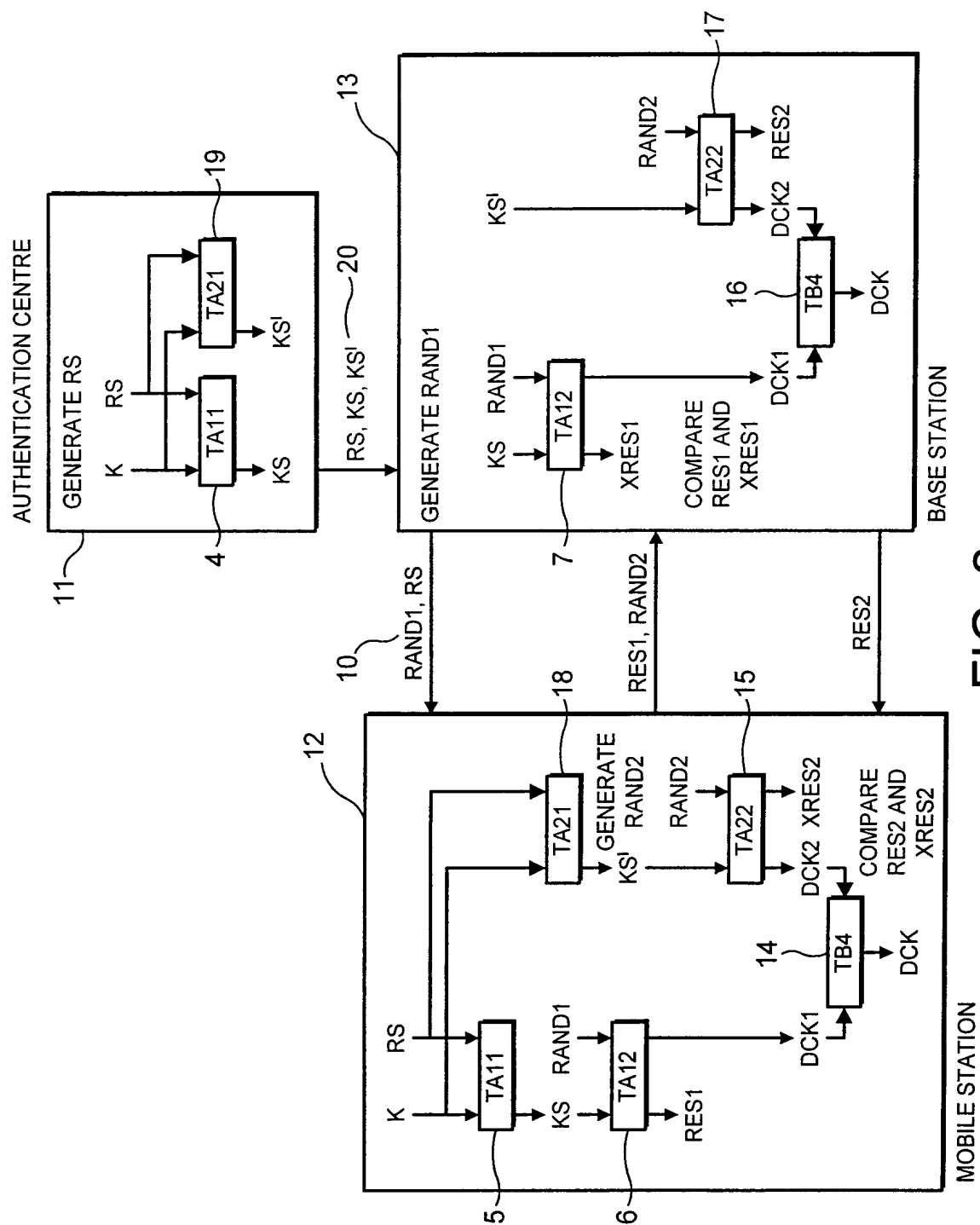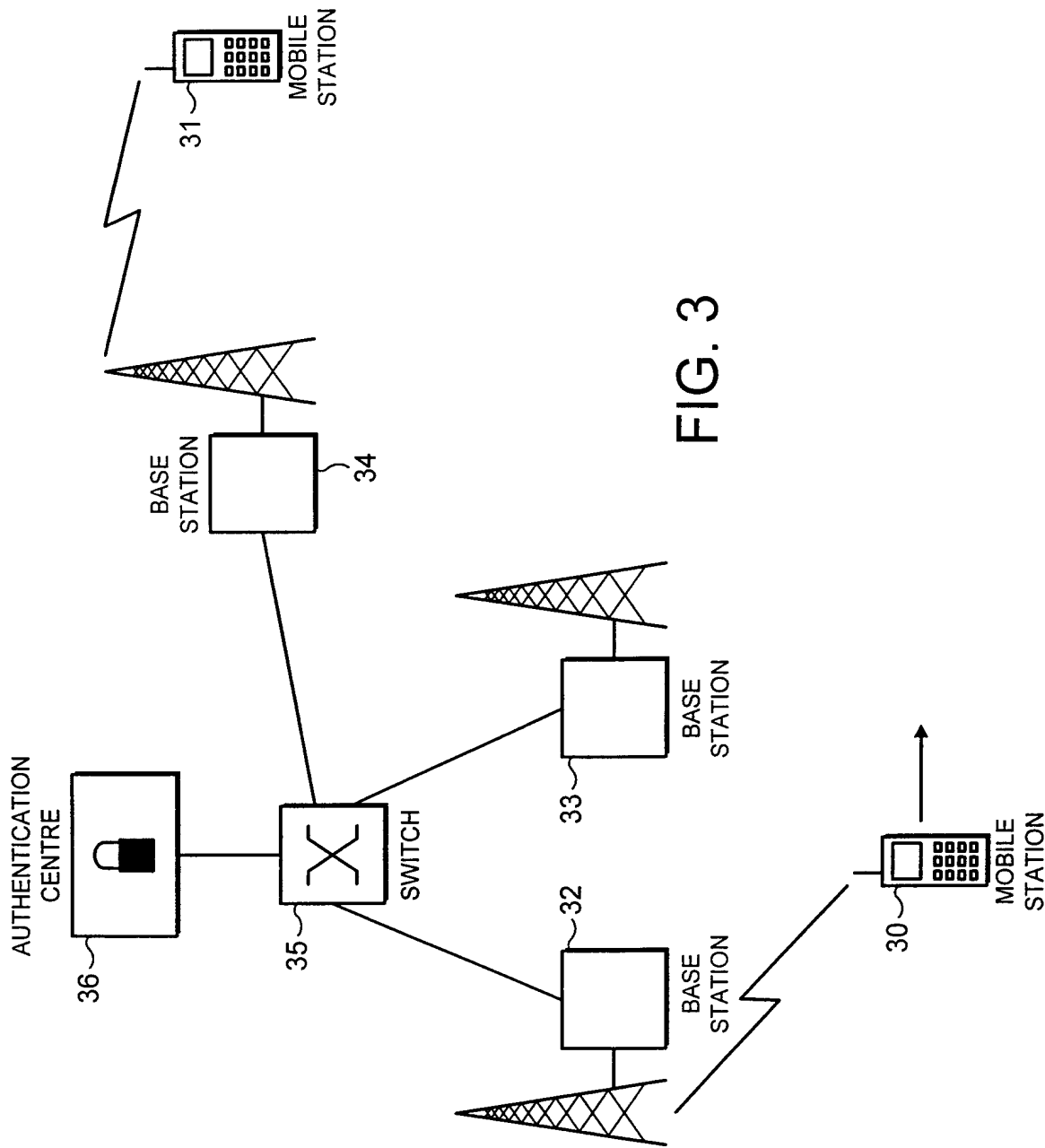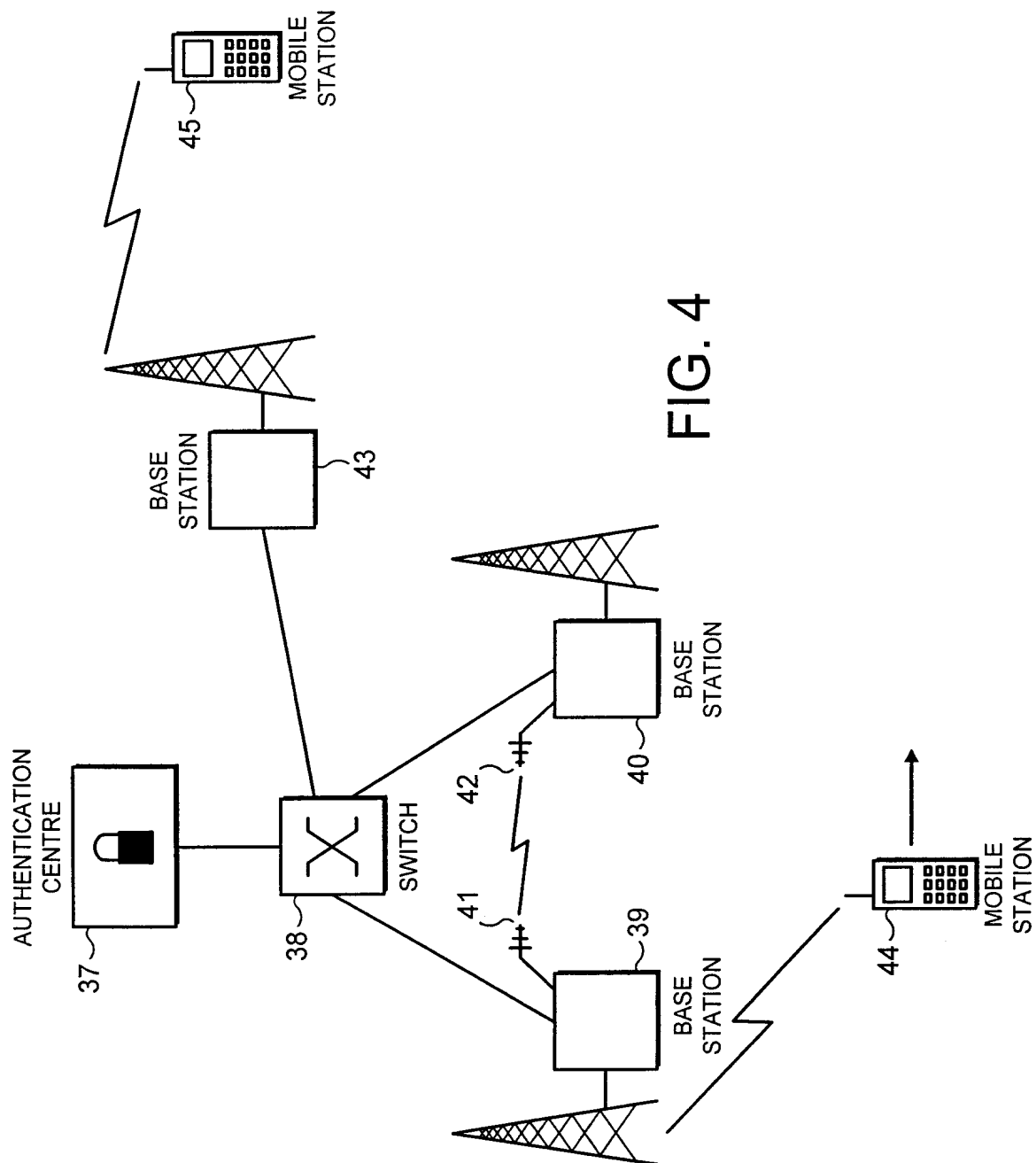
FIG. 1

FIG. 2

FIG. 3

FIG. 4

5 / 6

FIG. 5

FIG. 6

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04Q7/38

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X A	WO 00 41427 A (ERICSSON TELEFON AB L M) 13 July 2000 (2000-07-13) page 2, line 25 –page 3, line 23 page 5, line 5 – line 26 page 6, line 12 –page 7, line 14 page 9, line 10 –page 10, line 15 ---	1-9,13, 14,16-22 10-12,15
A	US 5 598 459 A (HAARTSEN JACOBUS C) 28 January 1997 (1997-01-28) column 3, line 24 – line 36 column 5, line 60 –column 6, line 27 -----	1-22

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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"P" document published prior to the international filing date but later than the priority date claimed

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Date of the actual completion of the international search	Date of mailing of the international search report
14 December 2000	27/12/2000

Name and mailing address of the ISA	Authorized officer
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INTERNATIONAL SEARCH REPORT

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